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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

SAZ-002.01 (21457-201)

First Inventor or Application Identifier

Seabron Adamson

Title

Systems and Methods for Trading Electrical Transmission Rights

Express Mail Label No.

EL046635668US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total pages 22]  
(referred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 6)
4. Oath or Declaration (Total Sheets 1)
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR (S)  
Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. § 1.63(d)(2) and 1.33(b)
- X A SMALL ENTITY STATUS IS HEREBY ASSERTED AND CLAIMED.**

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27) EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

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Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ (37 C.F.R. § 3.73) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \*Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application, Status still proper and desired
14. ☐ Certified Copy of Priority Documents(s)  
(if foreign priority is claimed)
15. Other

Check in the amount of \$487.00

16. This application claims priority to U.S. Provisional Application Serial No 60/258,145, filed December 22, 2000, which application is hereby incorporated herein by reference..

**16. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label**Customer No: 25181**

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Signature		Date	December 2, 2001

Burden Hour Statement This form is estimated to take 0.2 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Box Patent Application, Washington, DC 20231

# PATENT APPLICATION CLAIMS SHEET Atty.Docket Number

Foley, Hoag & Eliot, LLP  
 Patent Group  
 One Post Office Square  
 Boston, MA 02109-217

SAZ-002.01  
 (21457-201)

## CLAIMS AS FILED

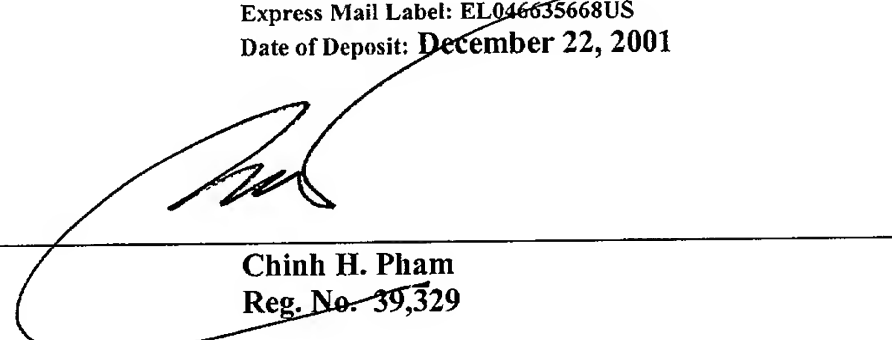
	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE (37 CFR 1.16(a))			\$740.00	\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	33-20=	13	x \$18	234.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3=	0	x \$80	-0-
*MULTIPLE DEPENDENT CLAIM PRESENT	(37 CFR 1.16(d))		\$0	
* NUMBER EXTRA MUST BE ZERO OR LARGER		TOTAL		\$974.00
	If applicant has small entity status under 37 CFR 1.9 and 1.27 then divide total fee by 2, and enter amount here.		SMALL ENTITY	\$487.00

### Certificate of Express Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on this date of December 22, 2001.



Michael Phelan  
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 Date of Deposit: December 22, 2001

  
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